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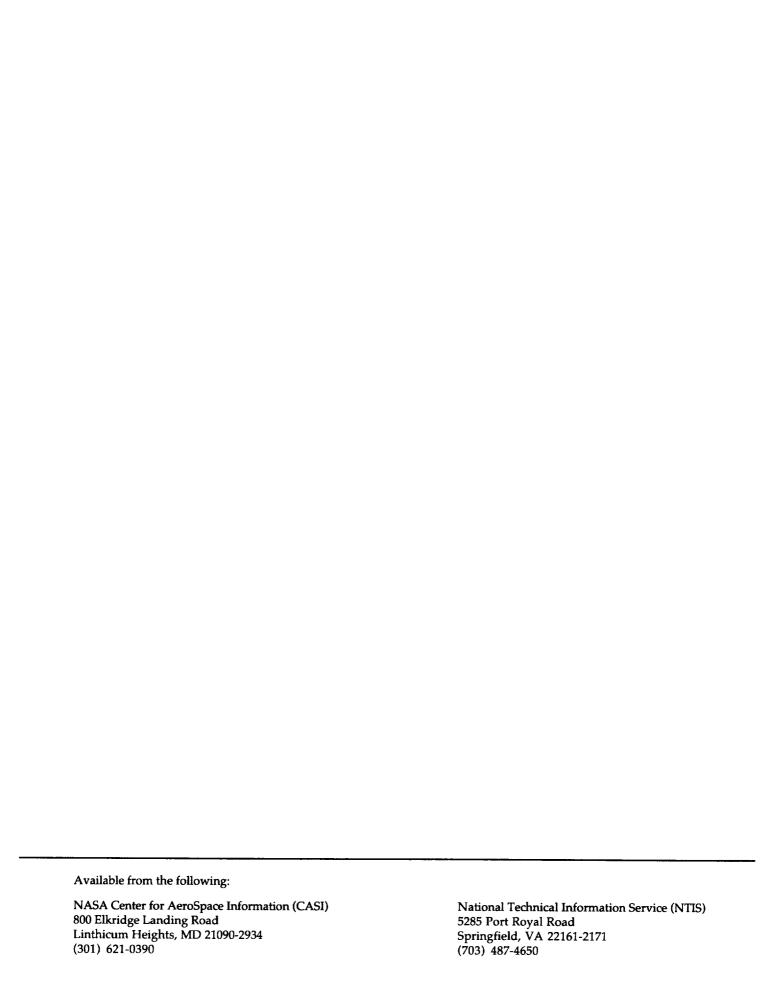
A PVS Graph Theory Library

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Abstract

This paper documents the NASA Langley PVS graph theory library. The library provides fundamental definitions for graphs, subgraphs, walks, paths, subgraphs generated by walks, trees, cycles, degree, separating sets, and four notions of connectedness. Theorems provided include Ramsey's and Menger's and the equivalence of all four notions of connectedness.

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1 Introduction

This paper documents the NASA Langley PVS graph theory library. The library develops the fundamental concepts and properties of finite graphs.

2 Definition of a Graph

The standard mathematical definition of a graph is that it is an ordered pair of sets (V,E) such that E is a subset of the ordered pairs pairs of V. Typically V and E are assumed to be finite though sometimes infinite graphs are treated as well. The NASA library is restricted to finite graphs only. The set V is called the vertices of the graph and the set E is called the edges of the graph.

Although PVS directly supports ordered pairs, we have chosen the PVS record structure to define a graph. The advantage of the record structure is that it provides names for the vertex and edge sets rather than proj_1 and proj_2. For efficiency reasons, it is preferable to define a graph in PVS in two steps. We begin with the definition of a pregraph:

A pregraph is a structured type with two components: vert and edges. The vert component is a finite set over an arbitrary type T. This represents the vertices of the graph. The edges component is a finite set of doubletons (i.e. sets with exactly two members) of T. Thus, an edge is defined by designating its two end vertices. The type finite_set is defined in the PVS finite sets library. It is a subtype of the type set which is defined in the PVS prelude as follows:

```
sets [T: TYPE]: THEORY
BEGIN
  set: TYPE = [T -> bool]

x, y: VAR T
  a, b, c: VAR set
  p: VAR [T -> bool]

member(x, a): bool = a(x)
  emptyset: set = x | false
  subset?(a, b): bool = (FORALL x: member(x, a) => member(x, b))
  union(a, b): set = x | member(x, a) OR member(x, b)
  intersection(a, b): set = x | member(x, a) AND member(x, b)
```

A set is just a boolean-valued function of the element type. i.e., a function from T into bool. In PVS this is written as [T -> bool]. If x is a member of a set S, the expression S(x) evaluates to true, otherwise it evaluates to false.

Finite sets are defined as follows:

```
S: VAR set[T]
is_finite(S): bool = (EXISTS (N: nat, f: [(S) -> below[N]]): injective?(f))
finite_set: TYPE = { S | is_finite(S) } CONTAINING emptyset[T]
```

Thus finite sets are sets which can be mapped onto 0..N for some N. The cardinality function card is defined as follows:

All of the standard properties about card have been proved and are available:

card_add : THEOREM card(add(x,S)) = card(S) + IF S(x) THEN 0 ELSE 1 ENDIF

card_remove : THEOREM card(remove(x,S)) = card(S) - IF S(x) THEN 1 ELSE 0 ENDIF

card_subset : THEOREM subset?(A,B) IMPLIES card(A) <= card(B)</pre>

card_emptyset : THEOREM card(emptyset[T]) = 0

card_singleton: THEOREM card(singleton(x)) = 1

Now we are ready to define a graph as follows:

A graph is a pregraph where the edges set contains doubleton sets with elements restricted to the vert set. The doubleton type is defined as follows:

```
doubletons[T: TYPE]: THEORY
BEGIN

x,y,z: VAR T

dbl(x,y): set[T] = {t: T | t = x OR t = y}
```

```
S: VAR set[T]
```

```
doubleton?(S): bool = (EXISTS x,y: x /= y AND S = dbl(x,y))

doubleton: TYPE = {S | EXISTS x,y: x /= y AND S = dbl(x,y)}

END doubletons
```

For example, suppose the base type T is defined as follows:

```
T: TYPE = \{a,b,c,d,e,f,g\}
```

Then the following pregraph is also a graph:

```
(# vert := {a,b,c},
edges := { {a,b}, {b,c} } #)
```

whereas

```
(# vert := {a,b,c},
edges := { {a,b}, {b,d}, {a,g} } #)
```

is a pregraph but is not a graph 1.

The size of a graph is defined as follows:

```
size(G): nat = card[T](vert(G))
```

A singleton graph with one vertex \mathbf{x} (i.e. size is 1) can be constructed using the following function:

For convenience we define a number of predicates:

```
edge?(G)(x,y): bool = x /= y AND edges(G)(dbl[T](x,y))
empty?(G): bool = empty?(vert(G))
singleton?(G): bool = (size(G) = 1)
isolated?(G): bool = empty?(edges(G))
```

The net result is that we have the following:

¹PVS does not allow { .. } as set constructors. These must be constructed in PVS using LAMBDA expressions or through use of the functions add, emptyset, etc.

The following useful lemmas are provided:

These definitions and lemmas are located in the graphs theory.

3 Graph Operations

The theory graph_ops defines the following operations on a graph:

These operations are defined as follows:

The following is a partial list of the properties that have been proved:

```
del_vert_still_in : LEMMA FORALL (x: (vert(G))):
                                  x /= v IMPLIES vert(del_vert(G, v))(x)
size_del_vert
                    : LEMMA FORALL (v: (vert(G))):
                                size(del_vert(G,v)) = size(G) - 1
edge_in_del_vert
                    : LEMMA (edges(G)(e) AND NOT e(v)) IMPLIES
                              edges(del_vert(G,v))(e)
del_vert_comm
                    : LEMMA del_vert(del_vert(G, x), v) =
                                 del_vert(del_vert(G, v), x)
del_edge_lem3
                   : LEMMA edges(G)(e2) AND e2 /= e IMPLIES
                                 edges(del_edge(G,e))(e2)
vert_del_edge
                   : LEMMA vert(del_edge(G,e)) = vert(G)
del_vert_edge_comm : LEMMA del_vert(del_edge(G, e), v) =
                            del_edge(del_vert(G, v), e)
```

4 Graph Degree

The theory graph_deg develops the concept of degree of a vertex. The following functions are defined:

```
incident_edges(v,G) returns set of edges attached to vertex v in graph G
deg(v,G) number of edges attached to vertex v in graph G
```

Formally they are specified as follows:

5 Subgraphs

The subgraph relation is defined as a predicate named subgraph?:

The subgraph type is defined using this predicate:

```
Subgraph(G: graph[T]): TYPE = { S: graph[T] | subgraph?(S,G) }
```

The subgraph generated by a vertex set is defined as follows:

The following properties have been proved:

These definitions and lemmas are located in the subgraphs theory.

6 Walks and Paths

Walks are defined using finite sequences which are defined in the seq_def theory:

```
seq_def[T: TYPE]: THEORY
BEGIN
  finite_seq: TYPE = [# 1: nat, seq: [below[1] -> T] #]
END
```

We begin by defining a prewalk as follows:

```
prewalk: TYPE = {w: finite_seq[T] | 1(w) > 0}
```

where, as before, T is the base type of vertices. A prevalk is a finite sequence of vertices. Thus, if we make the declaration:

```
w: VAR prewalk
```

1(w) is the length of the prewalk and seq(w)(i) is the ith element in the sequence. Prewalks are contrained to be greater than 1 in length. We have used the PVS conversion mechanism, so that w(i) can be written instead of seq(w)(i). A walk is then defined as follows:

A walk is just a prewalk where all of the vertices are in the graph and there is an edge between each consecutive element of the sequence. The dependent type Walk(G) defines the domain (or type) of all walks in a graph G. The dependent type Seq(G) defines the domain (or type) of all prewalks in a particular graph G.

The predicates from? and walk_from? identify sequences and walks from one particular vertex to another.

```
from?(ps,u,v): bool = seq(ps)(0) = u AND seq(ps)(1(ps) - 1) = v walk\_from?(G,ps,u,v): bool = seq(ps)(0) = u AND seq(ps)(1(ps) - 1) = v AND walk?(G,ps)
```

The function verts_of returns the set of vertices that are in a walk:

Similarly, the function edges_of returns the set of edges that are in a walk:

Below are listed some of the proved properties about walks:

walk_from_vert : LEMMA FORALL (w: prewalk,v1,v2:T):

The walks theory also proves some useful operators for walks:

These are defined formally as follows:

```
gen_seq1(G, (u: (vert(G)))): Seq(G) =
                       (# 1 := 1, seq := (LAMBDA (i: below(1)): u) #)
 gen_seq2(G, (u,v: (vert(G)))): Seq(G) =
                (# 1 := 2,
                    seq := (LAMBDA (i: below(2)):
                                     IF i = 0 THEN u ELSE v ENDIF) #)
 Longprewalk: TYPE = {ps: prewalk | 1(ps) >= 2}
 trunc1(p: Longprewalk): prewalk = p^{(0,1(p)-2)}
 add1(ww,x): prewalk = (# 1 := 1(ww) + 1,
                         seq := (LAMBDA (ii: below(l(ww) + 1)):
                                   IF ii < 1(ww) THEN seq(ww)(ii) ELSE x ENDIF)</pre>
                       #)
fs, fs1, fs2, fs3: VAR finite_seq
m, n: VAR nat
o(fs1, fs2): finite_seq =
  LET 11 = 1(fs1),
       1sum = 11 + 1(fs2)
   IN (# 1 := 1sum,
          seq := (LAMBDA (n:below[lsum]):
```

```
IF n < 11
                       THEN seq(fs1)(n)
                       ELSE seq(fs2)(n-l1)
                    ENDIF) #);
emptyarr(x: below[0]): T
emptyseq: fin_seq(0) = (# 1 := 0, seq := emptyarr #);
p: VAR [nat, nat];
^(fs: finite_seq, (p: [nat, below(l(fs))])):
     fin_seq(IF proj_1(p) > proj_2(p) THEN 0
             ELSE proj_2(p)-proj_1(p)+1 ENDIF =
 LET (m, n) = p
   IN IF m > n
      THEN emptyseq
      ELSE (# 1 := n-m+1,
              seq := (LAMBDA (x: below[n-m+1]): seq(fs)(x + m)) #)
      ENDIF ;
rev(fs): finite_seq = (# 1 := 1(fs),
                         seq := (LAMBDA (i: below(l(fs))): seq(fs)(l(fs)-1-i))
                       #)
 The following is a partial list of the proven properties about walks:
gen_seq1_is_walk: LEMMA vert(G)(x) IMPLIES walk?(G,gen_seq1(G,x))
 edge_to_walk
                 : LEMMA u /= v AND edges(G)(edg[T](u, v)) IMPLIES
                           walk?(G,gen_seq2(G,u,v))
 walk?_add1
                  : LEMMA walk?(G,ww) AND vert(G)(x)
                         AND edge?(G)(seq(ww)(1(ww)-1),x)
                         IMPLIES walk?(G,add1(ww,x))
                 : LEMMA walk?(G,ps) IMPLIES walk?(G,rev(ps))
walk?_rev
walk?_caret : LEMMA i <= j AND j < l(ps) AND walk?(G,ps)</pre>
                             IMPLIES walk?(G,ps^(i,j))
 yt: VAR T
p1,p2: VAR prewalk
```

A path is a walk that does not encounter the same vertex more than once. The predicate path? identifies paths:

```
ps: VAR prewalk
   path?(G,ps): bool = walk?(G,ps) AND (FORALL (i,j: below(l(ps))):
                                            i \neq j \text{ IMPLIES } ps(i) \neq ps(j)
Similarly the predicate path_from? identifies paths from vertex s to t:
   path_from?(G,ps,s,t): bool = path?(G,ps) AND from?(ps,s,t)
Corresponding dependent types are defined:
   Path(G): TYPE = \{p: prewalk \mid path?(G,p)\}
   Path_from(G,s,t): TYPE = {p: prewalk | path_from?(G,p,s,t) }
The following is a partial list of proven properties:
   G: VAR graph[T]
   x,y,s,t: VAR T
   i,j: VAR nat
   p,ps: VAR prewalk
   path?_caret
                    : LEMMA i \le j AND j \le l(ps) AND path?(G,ps)
                             IMPLIES path?(G,ps^(i,j))
   path_from?_caret: LEMMA i \le j AND j \le l(ps) AND path_from?(G, ps, s, t)
                          IMPLIES path_from?(G, ps^(i, j),seq(ps)(i),seq(ps)(j))
   path?_rev
                    : LEMMA path?(G,ps) IMPLIES path?(G,rev(ps))
   path?_gen_seq2 : LEMMA vert(G)(x) AND vert(G)(y) AND
                             edge?(G)(x,y) IMPLIES path?(G,gen_seq2(G,x,y))
   path?_add1
                    : LEMMA path?(G,p) AND vert(G)(x)
                             AND edge?(G) (seq(p)(1(p)-1),x)
```

These definitions and lemmas about paths are located in the paths theory.

7 Connected Graphs

The library provides four different definitions for connectedness of a graph and provides proofs that they are are equivalent. These are named connected, path_connected, piece_connected, and complected:

```
G,G1,G2,H1,H2: VAR graph[T]
connected?(G): RECURSIVE bool = singleton?(G) OR
                                (EXISTS (v: (vert(G))): deg(v,G) > 0
                                    AND connected?(del_vert(G,v)))
               MEASURE size(G)
path_connected?(G): bool = NOT empty?(G) AND
                           (FORALL (x,y: (vert(G))):
                                (EXISTS (w: Walk(G)): seq(w)(0) = x AND
                                                       seq(w)(1(w)-1) = y))
piece_connected?(G): bool = NOT empty?(G) AND
                            (FORALL H1, H2: G = union(H1, H2) AND
                                      NOT empty?(H1) AND NOT empty?(H2)
                               IMPLIES NOT empty?(intersection(vert(H1),
                                                                 vert(H2))))
complected?(G): bool = IF isolated?(G) THEN singleton?(G)
                       ELSIF (EXISTS (v: (vert(G))): deg(v,G) = 1) THEN
                        (EXISTS (x: (vert(G))): deg(x,G) = 1 AND
                           connected?(del_vert(G,x)))
                       ELSE
                          (EXISTS (e: (edges(G))):
                           connected?(del_edge(G,e)))
                       ENDIF
```

These definitions are located in the graph_conn_defs theory. The following lemmas about equivalence are located in the theory graph_connected:

```
graph_connected[T: TYPE]: THEORY
```

BEGIN

```
G: VAR graph[T]
conn_eq_path : THEOREM connected?(G) = path_connected?(G)
path_eq_piece: THEOREM path_connected?(G) = piece_connected?(G)
piece_eq_conn: THEOREM piece_connected?(G) = connected?(G)
conn_eq_complected: THEOREM connected?(G) = complected?(G)
END graph_connected
```

8 Circuits

A slightly non-traditional definition of circuit is used. A circuit is a walk that starts and ends in the same place (i.e. a pre_circuit) and is cyclically reduced (i.e. cyclically_reduced?).

The following properties are proved in the circuit_deg theory:

9 Trees

Trees are defined recursively as follows:

10 Ramsey's Theorem

This work builds upon a verification of this theorem by Natarajan Shankar and the paper entitled "The Boyer-Moore Prover and Nuprl: An Experimental Comparison" by David Basin and Matt Kaufmann².

```
i, j: VAR T

n, p, q, ii: VAR nat
g: VAR graph[T]
G: VAR Graph[T]  % nonempty

V: VAR finite_set[T]

contains_clique(g, n): bool =
    (EXISTS (C: finite_set[T]):
    subset?(C,vert(g)) AND card(C) >= n AND
          (FORALL i,j: i/=j AND C(i) AND C(j) IMPLIES edge?(g)(i,j)))

contains_indep(g, n): bool =
    (EXISTS (D: finite_set[T]):
```

²CLI Technical Report 58, July 17, 1990.

11 Menger's Theorem

To state menger's theorem one must first define minimum separating sets. This is fairly complicated in a formal system. We begin with the concept of a separating set:

In other words V separates s and t when its removal disconnects s and t. To define the minimum separating set, we use an abstract minimum function defined in the abstract_min theory. The net result is that we end up with a function min_sep_set with all of the following desired properties

We then define sep_num as follows:

```
sep_num(G,s,t): nat = card(min_sep_set(G,s,t))
```

Next, we define a predicate independent? that defines when two paths are independent:

```
\label{eq:condition} \begin{tabular}{ll} independent?(w1,w2: prewalk): bool = & (FORALL (i,j: nat): i > 0 AND i < l(w1) - 1 AND & & j > 0 AND j < l(w2) - 1 IMPLIES & & seq(w1)(i) /= seq(w2)(j)) \end{tabular}
```

The concept of a set of independent paths is defined as follows:

In other words, a set of paths is an ind_path_set? if all pairs of paths in the set are independent. We can now state Menger's theorem in both directions:

(EXISTS (ips: set_of_paths(G,s,t)):

card(ips) = 2 AND ind_path_set?(G,s,t,ips))

12 PVS Theories

The following is a list of the PVS theories and description:

abstract_min abstract definition of min abstract_max abstract definition of max

doubletons theory of doubletons used for definition of edge

graphs fundamental definition of a graph unusual definition of connected graph

graph_conn_defs defs of piece, path, and structural connectedness structural connected supset piece connected

graph_connected all connected defs are equivalent

graph_path_conn
graph_piece_path
path connected supset structural connected
piece connected supset path connected

graph_deg definition of degree

graph_deg_sum theorem relating vertex degree and number of edges

graph_inductions vertex and edge inductions for graphs graph_ops delete vertex and delete edge operations

h_menger hard menger

ind_paths definition of independent paths

max_subgraphs maximal subgraphs with specified property max_subtrees maximal subtrees with specified property

meng_scaff scaffolding for hard menger proof meng_scaff_defs scaffolding for hard menger proof meng_scaff_prelude scaffolding for hard menger proof

menger menger's theorem

min_walk_reduced theorem that minimum walk is reduced min_walks minimum walk satisfying a property path_lems some useful lemmas about paths deleting vertex and edge operations

paths fundamental definition and properties about paths

ramsey_new Ramsey's theorem

reduce_walks operation to reduce a walk
sep_set_lems properties of separating sets
sep_sets definition of separating sets

subgraphsgeneration of subgraphs from vertex setssubgraphs_from_walkgeneration of subgraphs from walks

subtrees subtrees of a graph

tree_circ theorem that tree has no circuits

tree_paths theorem that tree has only one path between vertices

trees fundamental definition of trees walk_inductions induction on length of a walk

walks fundamental definition and properties of walks

The PVS specifications are available at:

http://atb-www.larc.nasa.gov/ftp/larc/PVS-library/.

13 Concluding Remarks

This paper gives a brief overview of the NASA Langley PVS Graph Theory Library. The library provides definitions and lemmas for graph operations such as deleting a vertex or edge, provides definitions for vertex degree, subgraphs, minimal subgraphs, walks and paths, notions of connectedness, circuit and trees. Both Ramsey's Theorem and Menger's Theorem are provided.

A APPENDIX: Other Supporting Theories

A.1 Graph Inductions

The graph theory library provides two basic means of performing induction on a graph: induction on the number of vertices and induction on the number of edges.

These theorems can be invoked using the PVS strategy INDUCT. For example

```
(INDUCT "G" 1 "graph_induction_vert")
```

invokes vertex induction on formula 1. They are available in theory graph_inductions. These induction theorems were proved by rewriting with the following lemmas

which converts the theorem into formulas that are universally quantified over the naturals. The resulting formulas were then easily proved using PVS's built-in theorem for strong induction:

A.2 Subgraphs Generated From Walks

The graph theory library provides a function **G_from** that constructs a subgraph of a graph **G** that contains the vertices and edges of a walk **w**:

The following properties of **G_from** have been proved:

This lemmas are available in the theory subgraphs_from_walk.

A.3 Maximum Subgraphs

Given a graph G we say that a subgraph S is maximal with respect to a particular property P if it is the largest subgraph that satisfies the property. Formally we write:

We can define a function that returns the maximum subgraph under the assumption that there exists at least one subgraph that satisfies the predicate. Therefore this function is only defined on a subtype of P, namely Gpred:

These definitions and lemmas are located in the theory max_subgraphs.

A similar theory for subtrees is available in the theory max_subtrees.

A.4 Minimum Walks

Given that a walk w from vertex x to vertex y exists, we sometimes need to find the shortest walk from x to y. The theory min_walks provides a function min_walk_from that returns a walk that is minimal. It is defined formally as follows:

The following properties of min_walk_from have been established:

```
is_min(G,(w: Seq(G)),x,y): bool = walk?(G,w) AND
                     (FORALL (ww: Seq(G)): walk_from?(G,ww,x,y) IMPLIES
                                                       1(w) <= 1(ww)
min_walk_def: LEMMA FORALL (Gw: gr_walk(x,y)):
                        walk_from?(Gw,min_walk_from(x,y,Gw),x,y) AND
                        is_min(Gw, min_walk_from(x,y,Gw),x,y)
min_walk_in : LEMMA FORALL (Gw: gr_walk(x,y)):
                        walk_from?(Gw,min_walk_from(x,y,Gw),x,y)
min_walk_is_min: LEMMA FORALL (Gw: gr_walk(x,y), ww: Seq(Gw)):
                            walk_from?(Gw,ww,x,y) IMPLIES
                                      l(min_walk_from(x,y,Gw)) <= l(ww)</pre>
reduced?(G: graph[T], w: Seq(G)): bool =
        (FORALL (k: nat): k > 0 AND k < l(w) - 1 IMPLIES w(k-1) /= w(k+1))
 x,y: VAR T
min_walk_is_reduced: LEMMA FORALL (Gw: gr_walk(x,y)):
                                   reduced?(Gw,min_walk_from(x,y,Gw))
```

These lemmas are available in the theories min_walks and min_walk_reduced.

A.5 Abstract Min and Max Theories

The need for a function that returns the smallest or largest object that satisfies a particular predicate arises in many contexts. For example, one may need a minimal walk from s to t or the maximal subgraph that contains a tree. Thus, it is useful to develop abstract min and max theories that can be instantiated in multiple ways to provide different min and max functions. Such a theory must be parameterized by

Formally we have

```
abstract_min[T: TYPE, size: [T -> nat], P: pred[T]]: THEORY
and
abstract_max[T: TYPE, size: [T -> nat], P: pred[T]]: THEORY
```

To simplify the following discussion, only the abstract_min theory will be elaborated in detail. The abstract_max theory is conceptually identical.

In order for a minimum function to be defined, it is necessary that at least one object exists that satisfies the property. Thus, the theory contains the following assuming clause

ASSUMING

```
T_ne: ASSUMPTION EXISTS (t: T): P(t)
ENDASSUMING
```

User's of this theory are required to prove that this assumption holds for their type T (via PVS's TCC generation mechanism).

A function minimal?(S: T) is then defined as follows:

Using PVS's dependent type mechanism, min is specified by constraining it's return type to be the subset of T that satisfies minimal?:

```
min: {S: T | minimal?(S)}
```

If there are multiple instances of objects that are minimal, the theory does not specify which object is selected by min. It just states that min will return one of the minimal ones. This definition causes PVS to generate the following proof obligation (i.e. TCC):

```
min_TCC1: OBLIGATION (EXISTS (x: S: T | minimal?(S)): TRUE);
```

This was proved using a function min_f, defined as follows:

```
is_one(n): bool = (EXISTS (S: T): P(S) AND size(S) = n)
min_f: nat = min[nat](n: nat | is_one(n))
```

to construct the required min function. The T_ne assumption is sufficient to guarantee that min_f is well-defined.

The following properties have been proved about min:

```
min_def: LEMMA minimal?(min)
min_in : LEMMA P(min)
min_is_min: LEMMA P(SS) IMPLIES size(min) <= size(SS)</pre>
```

These properties are sufficient for most applications.

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This paper documents the NASA Langley PVS graph theory library. The library provides fundamental definitions for graphs, subgraphs, walks, paths, subgraphs generated by walks, trees, cycles, degree, separating sets, and four notions of connectedness. Theorems provided include Ramsey's and Menger's and the equivalence of all four notions of connectedness.									
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